PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0851-0031
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of

Complete if Known				
Application Number	09/805,455			
Filing Date	March 14, 2001			
First Named Inventor	Weiniu GAN et al.			
Art Unit	1634			
Examiner Name	J. Fredman			
Attomey Docket Number	CL001165			

		U.U. FAIL	NT DOCUMENTS	
Cite No. ¹		Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	FOREIGN PATENT DOCUMENTS					
Examiner Initials	Cite No. 1	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages	
miniais	NO.	Country Code3 -Number4 - Kind Code5 (if known)	MM-DD-YYYY	Applicant of Cited Document	or Relevant Figures Appear	T€
		WO 98 53601 A1	11/26/1998	Council of Queensland	200 Mar. 1 200 Mar. 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	
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	Date
Signature	Considered

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Oraw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449B/PTO

Sheet

NFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) of 2

Complete if Known					
Application Number	09/805,455				
Filing Date	March 14, 2001				
First Named Inventor	Weiniu GAN et al.				
Group Art Unit	1634				
Examiner Name	J. Fredman				
Attorney Docket Number	CL001165				

	,	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т
		Kawasaki et al. "A Rap Guanine Nucleotide Exchange Factor Enriched Highly in Basal Ganglia." Proc. Natl. Acad. Sci. October 1998. Vol. 95, Pages 13278-13283.	
٠ ٠ - ١٠ ا	s was on the gas don't	Clyde-Smith et al. "Characterization of RasGRP2. a Plasma Membrane-Targeted Dual Specifically Ras/Rap Exchange Factor." J. Biol. Chem. October 13, 2000. Vol. 275, No. 41, Pages 32260-32267.	
		Kedra et al. "The Germinal Center Kinase Gene and a Novel CDC-25 Like Gene are Located in the Vicinity of the PYGM Gene on 11q13." Human Genet. 1997. Vol. 100, Pages 611-619.	
Verdi Monte es à 11 mai		Guru et al. "A Transcript Map for the 2.8 Mb Region Containing the Multiple Endocrine Neoplasia Type 1 Locus." Genome Research. 1997. Vol. 7, Pages 725-735.	
4		International Search report dated May 22, 2003.	
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Examiner	 Date	
Signature	1	ł
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Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents,



^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.



in re Application of: Weiniu GAN et al.

Art Unit: 1634

Serial No.: 09/805,455

Examiner: J. Fredman

Filed: March 14, 2001

Atty. Docket: CL001165

For: ISOLATED HUMAN RAS-LIKE PROTEINS, NUCLEIC ACID MOLECULES ENCODING HUMAN RAS-LIKE

PROTEINS, AND USES THEREOF

Statement Regarding Duty to Disclose Information Material To Patentability Under 37 CFR 1.56 (a) and (b)

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Applicants hereby notify the US Patent and Trademark Office of the documents listed on the attached PTO Form SB/08A, which may be deemed relevant to the patentability of the claims of the above application. One copy of each of the listed documents is submitted herewith. The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application.

The undersigned states that each item listed on the attached PTO Form SB/08A was first cited in a communication from a Foreign Patent Office in the above application's foreign counterpart or not known to the Undersigned for more than three months prior to the filing of this Information Disclosure Statement.

Please charge the fee set forth in §1.17(p) to deposit account number 50-0970. Furthermore, the Office is authorized to charge any other necessary fees related to the processing of this application to Deposit Account No. 50-0970.

Respectfully submitted,

CELERA GENOMICS

Date: June 10, 2003

Celera Genomics Corporation 45 West Gude Drive, C2-4#21 Rockville, MD 20850

Tel: 240-453-3812 Fax: 240-453-3084

PATENT COOPERATION TREATY

From the INTERNATIONAL SEARCHING AUTHORITY	•		
To: WAYNE W. MONTGOMERY CELERA GENOMICS 45 WEST GUDE DRIVE C2-4#21 ROCKVILLE, MD 20850	PCT NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL SEARCH REPORT OR THE DECLARATION		
	(PCT Rule 44.1) Date of Mailing		
	(day/month/year) 22 MAY 2003		
Applicant's or agent's file reference CL001165PCT	FOR FURTHER ACTION See paragraphs 1 and 4 below		
International application No. PCT/US02/07159	International filing date (day/month/year)		
	08 March 2002 (08.03.2002)		
Applicant PE CORPORATION			
1. The applicant is hereby notified that the international sear	ch report has been established and is transmitted herewith.		
Filing of amendments and statement under Article 19: The applicant is entitled, if he so wishes, to amend the cla	aims of the international application (see Rule 46)		
	normally two months from the date of transmittal of the		
Where? Directly to the International Bureau of WIPO	0, 34, chemin des Colombettes		
1211 Geneva 20, Switzerland, Facsimile No. For more detailed instructions, see the notes on the a	.		
	ch report will be established and that the declaration under		
3. With regard to the protest against payment of (an) addit	ional fee(s) under Rule 40.2, the applicant is notified that:		
the protest together with the decision thereon has been applicant? request to forward the texts of heat the	en transmitted to the International Bureau together with the protest and the decision thereon to the designated Offices.		
	plicant will be notified as soon as a decision is made.		
4. Reminders			
applicant wishes to avoid or postpone publication, a notice of w	al application will be published by the International Bureau. If the vithdrawal of the international application, or of the priority claim, 1 and 90 bis 3, respectively, before the completion of the technical		
examination must be filed if the applicant wishes to postpone the	of some designated Offices, a demand for international preliminary the entry into the national phase until 30 months from the priority within 20 months from the priority date, perform the prescribed ces.		
In respect of other designated Offices, the time limit of 30 month	ns (or later) will apply even if no demand is filed within 19 months.		
See the Annex to Form PCT/IB/301 and, for details about the Guide, Volume II, National Chapters and the WIPO Internet site.	applicable time limits, Office by Office, see the PCT Applicant's		
Name and mailing address of the ISA/US	Authorized officer		
Commissioner for Patents Box PCT	Jeffrey Fredman		
Washington, D.C. 20231 Facsimile No. (703)305-3230	Telephone No. 703-308-0196		
Form PCT/ISA/220 (April 2002)	(See notes on accompanying seet)		
	ECEIVE MAY 2 8 2002		

CELEDA CENOMICO

PATENT COOPERATION TREATY

PCT

INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference CL001165PCT	FOR FURTHER ACTION	see Notification of Transmittal of International Search Repo (Form PCT/ISA/220) as well as, where applicable, item 5 below.				
International application No. PCT/US02/07159	International filing date (day/mon 08 March 2002 (08.03.2002)	tth/year) (Earliest) Priority Date (day/month/year) 14 March 2001 (14.03.2001)				
Applicant PE CORPORATION						
This international search report has bee applicant according to Article 18. A co	opy is being transmitted to the Inte	earching Authority and is transmitted to the ernational Bureau.				
	d by a copy of each prior art docu	ment cited in this report.				
language in which it was filed	, unless otherwise indicated under					
Authority (Rule 23.1(b)).	e and/or amino acid sequence disc	ation of the international application furnished to this				
	al application in written form.					
	national application in computer rea	adable form.				
furnished subsequently to the	nis Authority in written form.	forms				
	is Authority in computer readable to					
international application as		listing does not go beyond the disclosure in the				
the statement that the information been furnished.	nation recorded in computer readab	ole form is identical to the written sequence listing has				
2. Certain claims were found	unsearchable (See Box I).					
3. Unity of invention is lacking4. With regard to the title,	ng (See Box II).					
the text is approved as subn	pitted by the applicant					
	by this Authority to read as follow	vs:				
5. With regard to the abstract,						
the text is approved as subm	nitted by the applicant.					
the text has been established may, within one month from Authority.	d, according to Rule 38.2(b), by thing the date of mailing of this internal	s Authority as it appears in Box III. The applicant tional search report, submit comments to this				
6. The figure of the drawings to be pu	blished with the abstract is Figure I	No				
as suggested by the applicar	nt.	None of the figures				
because the applicant failed	to suggest a figure.					
because this figure better ch	aracterizes the invention.					

Form PCT/ISA/210 (first sheet) (July 1998)

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US02/07159

Box	I Obs	ervations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)
This	interna	ational report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1.		Claim Nos.: because they relate to subject matter not required to be searched by this Authority, namely:
2.		Claim Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3.	6.4(a).	Claim Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule
Box	II O	exervations where unity of invention is lacking (Continuation of Item 2 of first sheet)
This Pleas	Interna se See C	tional Searching Authority found multiple inventions in this international application, as follows:
1.		As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2.		As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.		As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4.	⊠	No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.: 1, 2, 20, 21
Rema	nrk on I	The additional search fees were accompanied by the applicant's protest. No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US02/07159

A. CLA IPC(7) US CL	SSIFICATION OF SUBJECT MATTER : C07K 13/00; C12N 9/00 : 530/350; 435/174						
According t	o International Patent Classification (IPC) or to both	national cl	assification and IPC				
B. FIE							
Minimum d U.S. :	ocumentation searched (classification system followers 530/350; 435/174	d by classi	fication symbols)				
Documentat	ion searched other than minimum documentation to the	he extent ti	nat such documents are include	d in the fields searched			
Electronic d Please See (ata base consulted during the international search (na Continuation Sheet	ume of data	base and, where practicable, s	earch terms used)			
	UMENTS CONSIDERED TO BE RELEVANT						
Category *	Citation of document, with indication, where a			Relevant to claim No.			
X	KAWASAKI et al. A Rap guanine nucleotide exch. ganglia. Proc. Natl. Acad. Sci. October 1998, Vol. document.	ange factor . 95, pages	enriched highly in basal s 13278-13283, see entire	1, 2, 20, 21			
x	CLYDE-SMITH et al. Characterization of RasGRI specificity ras/rap exchange factor. J. Biol. Chem. pages 32260-32267, see entire document.	P2, a plasm 13 Octobe	a membrane targeted dual r 2000, Vol. 275, No. 41,	1, 2, 20, 21			
X	KEDRA et al. The germinal center kinase gene and in the vicinity of the PYGM gene on 11q13. Hum. see entire document.	i a novel C Genet. 199	DC-25 like gene are located 07, Vol. 100, pages 611-619,	1, 2, 20, 21			
X	GURU et al. A transcript map for the 2.8 Mb region neoplasia type 1 locus. Genome Research. 1997, V document.	on containi ol. 7, page	ng the multiple endocrine is 725-735, see entire	1, 2, 20, 21			
x	WO 98/53601 A1 (THE COUNCIL OF THE QUE MEDICAL RESEARCH) 26 November 1998 (26.1	ENSLANI 1.1998), s	O INSTITUTE OF see entire document.	1, 2, 20, 21			
Further	r documents are listed in the continuation of Box C.	П	See patent family annex.				
	pecial categories of cited documents:	"T"	later document published after the int	ternational filing date or			
"A" documen be of par	t defining the general state of the art which is not considered to ticular relevance		priority date and not in conflict with understand the principle or theory un	the application but cited to			
"E" earlier ap date	document of particular relevance; the claimed invention cannot considered novel or cannot be considered to involve an invention of particular relevance; the claimed invention cannot be considered to involve an invention of particular relevance; the claimed invention cannot be considered to involve an invention cannot be considered to						
"L" documen to establi (as speci	the which may throw doubts on priority claim(s) or which is cited "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art						
	occument referring to an oral disclosure, use, exhibition or other means "&" document member of the same natent family						
priority_	t published prior to the international filing date but later than the			•			
	ctual completion of the international search	Date of p	Pailing of the international sear 2003	ch report			
	Authorized officer Authorized officer						
Con	anning address of the ISA/OS missioner of Patents and Trademarks PCT		Fredman ()	(Man 19			
	hington, D.C. 20231 D. (703)305-3230	_	e No. 703-308-0196	enemy g			
orm PCT/IS/	A/210 (second sheet) (July 1998)						

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П	AIGKI	(A)		\mathbf{AL}	SEA	КCП	REPORT

PCT/US02/07159

BOX II. OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING

This application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1. In order for all inventions to be examined, the appropriate additional examination fees must be paid.

Group I, claim(s) 1-2, 20, 21, drawn to polypeptides.

Group II, claim(s) 3, drawn to antibodies.

Group III, claim(s) 4-6, 8, 9, 22, 23, drawn to nucleic acids.

Group IV, claim(s) 7, drawn to transgenic animals.

Group V, claim(s) 10-11, drawn to methods of producing polypeptides.

Group VI, claim(s) 12, drawn to methods of detecting polypeptides

Group VII, claim(s) 13, drawn to methods of detecting nucleic acids.

Group VIII, claim(s) 14-16, 19, drawn to methods of identifying modulators of polypeptides.

Group IX, claim(s) 17, drawn to a pharmaceutical composition.

Group X, claim(s) 18, drawn to a method of treatment.

The inventions listed as Groups I-X do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons: A special technical feature represents a novelty over the prior art. However, claim 1 is anticipated by Kawasaki et al (Proc. Natl. Acad. Sci. (1998) 95:13278-13283) who teaches an isolated polypeptide which comprises more than 10 contiguous amino acids of SEQ ID NO: 2 (see figure 1, panel D). Since there is no special technical feature linking the claims, the separation into groups is proper.

Further Groups I-IV and IX are drawn to multiple, distinct products lacking the same or corresponding special technical features. The nucleic acids of Group III are composed of nucleotides and function in , e.g., methods of nucleic acid hybridization or amplification. This group is directed to different combinations of nucleic acids which are different from one another and may be employed in different methods. The recombinant organisms of Group IV are complex organisms that are employed in, e.g. animal research methods. Such organisms cannot be employed as, e.g., probes or primers and they differ in both structure and function from the nucleic acids of Group III. The polypeptides of Group I differ in both structure and function from either the nucleic acids or the transgenic organisms. The polypeptides are composed of amino acids linked by peptide bonds and arranged in a complex combination of alpha helices, beta pleated sheets, hydrophobic and hydrophilic domains. The polypeptides also differ in function, e.g., fusion proteins with an enzymatic functions. The antibodies of Group II are composed of amino acids linked by peptide bonds, antibodies are glycosylated and their tertiary structure is unique, where four subunits (2 light chains and 2 heavy chains) associated via disulfide bonds into a Y-shaped symmetric dimer. The antibodies function in immunoassays. Further the pharmaceutical of Group IX has an undefined structure which can include small molecules or other compounds not found in any of the other groups. As products of different sets of Groups differ from each other in structure, function, and effect, they do not belong to a recognized class of chemical compound, or have both a "common property or activity" and a common structure as would be required to show that the inventions are "of a similar nature".

INTERNATIONAL SEARCH REPORT PCT/US02/07159 Further, the methods of Groups V-VIII and IX have different objectives and require different process steps. In addition to differences in objectives, effects, and method steps, it is again noted that the claims of the present

Groups are not directed to the detection or identification of molecules having the same or common special technical feature, for the reasons discussed above.

Continuation of B. FIELDS SEARCHED Item 3:

EAST, MEDLINE, BIOSIS, CAPLUS search terms: ras, protein, peptide, polypeptide

NOTES TO FORM PCT/ISA/220

These Notes are intended to give the basic instructions concerning the filing of amendments under Article 19. The Notes are based on the requirements of the Patent Cooperation Treaty and of the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the PCT Applicant's Guide, a publication of WIPO.

In these Notes, "Article", "Rule" and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions, respectively.

INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19

The applicant has, after having received the international search report, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international prelimanery examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international publication. Furthermore, it should be emphasized that provisional protection is available in some States only.

What parts of the international application may be amended?

. . . .

The cisims only.

The description and the drawings may only be amended during international preliminary examination under Chapter II.

When? Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as leving both received on time if they are received by the international Durant after the expiration of the (Rule 46.1).

Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been/is filed, see below.

How? Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A sepiscement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement abort must be numbered in Arabic numerals. Where a claim is canculled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Administrative Instructions, Section 205(b)).

What documents must/may accompany the amendments ?

Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confounded with the "Statement under Article 1%(1)" (see below, under "Statement under Article 1%(1)").

The letter must indicate the differences between the claims as filed and the claims as amended. It must, in periodiar, indicate, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped), whether

- (i) the claim is unchanged;
- (ii) the claim is cancelled;
- in the claim in sea.
- (iv) the claim repracts one or mo.
- (v) the date is the result of the division of a date as fired.

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6:	A1	(11) International Publication Number:	WO 98/53061
C12N 15/12, C07K 14/47, 16/18, G01N 33/53		(43) International Publication Date:	26 November 1998 (26.11.98)

(21) International Application Number: PCT/AU98/00380

(22) International Filing Date: 22 May 1998 (22.05.98)

(30) Priority Data:

23 May 1997 (23.05.97) 23 May 1997 (23.05.97) 23 May 1997 (23.05.97) 22 January 1998 (22.01.98) 22 January 1998 (22.01.98) 22 January 1998 (22.01.98)	AU AU AU AU
22 January 1998 (22.01.98)	ΑU
	23 May 1997 (23.05.97) 23 May 1997 (23.05.97) 22 January 1998 (22.01.98)

(71) Applicant (for all designated States except US): THE COUNCIL OF THE QUEENSLAND INSTITUTE OF MEDICAL RESEARCH [AU/AU]; 300 Herston Road, Brisbane, QLD 4029 (AU).

(72) Inventors; and

(75) Inventors/Applicants (for US only): HAYWARD, Nicholas [AU/AU]; 13 Prince Street, Paddington, QLD 4064 (AU). SILINS, Ginters [AU/AU]; 35 Eppalong Street, The Gap, QLD 4061 (AU). GRIMMOND, Sean [AU/GB]; Medical Research Council, Harwell, Didcot, Oxfordshire OX 1 0RD (GB). GARTSIDE, Michael [AU/AU]; 19 Thomas Street, Camp Hill, QLD 4152 (AU). HANCOCK, John [AU/AU]; 141 Airley Road, Pullenvale, QLD 4069 (AU).

(74) Agents: HUGHES, E., John, L. et al.; Davies Collison Cave, 1 Little Collins Street, Melbourne, VIC 3000 (AU).

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(57) Abstract

The present invention relates generally to three novel human genes with gene regulatory function. These genes encode a zinc finger protein, a guanine nucleotide exchange protein and a heat shock protein or heat shock binding protein. The invention includes derivatives and mammalian animal, insect, nematodes, avian and microbial homologues of these genes. The present invention further provides pharmaceutical compositions and diagnostic agents as well as genetic molecules useful in gene replacement therapy and recombinant molecules useful in protein replacement therapy.

